¹Pitch strength decreases as F0 and harmonic resolution ²increase in complex tones composed exclusively of high ³harmonics^{a)}

- 4 D. Timothy Ives^{b)} and Roy D. Patterson
- 5 Centre for the Neural Basis of Hearing, Department of Physiology, Development and Neuroscience,
- 6 University of Cambridge, Downing Street, Cambridge, CB2 3EG, United Kingdom
- 7 (Received 15 January 2007; revised 4 February 2008; accepted 7 February 2008)

A melodic pitch experiment was performed to demonstrate the importance of time-interval 8 resolution for pitch strength. The experiments show that notes with a low fundamental (75 Hz) and 9 relatively few resolved harmonics support better performance than comparable notes with a higher 10 fundamental (300 Hz) and more resolved harmonics. Two four note melodies were presented to 11 listeners and one note in the second melody was changed by one or two semitones. Listeners were 12 required to identify the note that changed. There were three orthogonal stimulus dimensions: F0 (75 13 and 300 Hz); lowest frequency component (3, 7, 11, or 15); and number of harmonics (4 or 8). 14 Performance decreased as the frequency of the lowest component increased for both F0's, but 15 performance was better for the *lower* F0. The spectral and temporal information in the stimuli were 16 compared using a time-domain model of auditory perception. It is argued that the distribution of 17 time intervals in the auditory nerve can explain the *decrease* in performance as F0, and spectral 18 resolution increase. Excitation patterns based on the same time-interval information do not contain 19

- 20 sufficient resolution to explain listener's performance on the melody task.
- 21 © 2008 Acoustical Society of America. [DOI: 10.1121/1.2890737]

22 PACS number(s): 43.66.Ba, 43.66.Hg, 43.66.Lj [RAL]

23

24 I. INTRODUCTION

A series of experiments with filtered click trains and 25 26 harmonic complexes has shown that pitch strength decreases 27 as the lowest harmonic of a complex increases. The phenom-28 enon has been demonstrated for the lowest harmonics using 29 magnitude estimation (Fastl and Stoll, 1979; Fruhmann and 30 Kluiber, 2005), and for higher harmonics using a variety of 31 pitch discrimination tasks [e.g., Ritsma and Hoekstra, 1974; 32 Cullen and Long, 1986; Houtsma and Smurzynski, 1990; see **33** Krumbholz *et al.* (2000) for a review]. This paper reports an 34 experiment that makes use of this phenomenon to demon-35 strate the importance of time-interval resolution for pitch 36 strength. A harmonic complex with eight, adjacent compo-37 nents was used to measure performance on a melodic pitch **38** task (Patterson *et al.*, 1983; Pressnitzer and Patterson, 2001), 39 as a function of the frequency of the lowest harmonic in the 40 complex. The important variable was the fundamental (F0) 41 of the complex which was either low (75 Hz) or high 42 (300 Hz), and the main empirical question was which funda-43 mental supports better performance on the melodic pitch 44 task?

In time-domain models of peripheral processing, the rede duction in pitch strength with increasing harmonic number is associated with the loss of phase locking at high frequencies (e.g., Patterson *et al.*, 2000; Krumbholz *et al.*, 2000; Press-

^{b)}Electronic mail: dti20@cam.ac.uk

nitzer *et al.*, 2001). As a result, time-domain models predict ⁴⁹ that performance based on complexes limited to high har- 50 monics will be worse for the *higher* fundamental (300 Hz); 51 the higher harmonics occur above 3000 Hz for the 300 Hz 52 fundamental, where the internal representation of the time 53 interval information is smeared by the loss of phase locking. 54

Pages: 1-XXXX

In spectral models of pitch perception, the reduction in 55 pitch strength with increasing harmonic number is associated 56 with the loss of harmonic resolution at high harmonic num- 57 bers. This occurs because the frequency spacing between 58 components of a harmonic complex is fixed, whereas the 59 bandwidth of the auditory filter increases with filter center 60 frequency. Thus, for all fundamentals, harmonic resolution 61 (harmonic-spacing/center-frequency) decreases as harmonic 62 number increases. It is also the case that the frequency res- 63 olution of the auditory filter improves somewhat with filter 64 center frequency, where filter resolution is defined as the 65 ratio of the center frequency (f_c) to the bandwidth (bw); it is 66 referred to as the quality (Q) of the filter $(Q=f_c/bw)$. As a 67 result, spectral models, which ignore the effects of phase 68 locking, predict that performance will be worse for the lower 69 fundamental with the lower value of Q. 70

The results of the experiment show that performance on 71 the melodic pitch task is worse for the higher fundamental in 72 support of the view that it is time-interval resolution rather 73 than harmonic resolution that imposes the limit on pitch 74 strength for these harmonic complexes. 75

Spectral and temporal summaries of the pitch informa- 76 tion in complex sounds. The logic of the experiment will be 77 illustrated using a time-domain model of auditory process- 78 ing, since such models make it possible to compare the spec- 79

^{a)}Portions of this work were presented in "Why pitch strength decreases with increasing harmonic number in complex tones" at the 153rd Meeting of the Acoustical Society of America, Salt Lake City, 2007.

⁸⁰ tral and temporal information that is assumed to exist in the 81 auditory system at the level of the auditory nerve. There are 82 a number of different time-domain models which are typi-83 cally referred to by the representation of sound that they 84 produce, for example, the "correlogram" (Slaney and Lyon, 85 1990), the "autocorrelogram" (Meddis and Hewitt, 1991), 86 and the "auditory image" (Patterson et al., 1992, 1995). The 87 example is based on the auditory image model (AIM) and 88 the specific implementation is that described in Bleeck et al. 89 (2004). The first three stages of AIM are typical of most 90 time-domain models of auditory processing. A bandpass fil-91 ter simulates the operation of the outer and middle ears, and 92 then an auditory filterbank simulates the spectral analysis 93 performed in the cochlea by the basilar partition. The shape 94 of the auditory filter is typically derived from simultaneous 95 noise-masking experiments, rather than pitch experiments. In 96 this case, it is the gammatone auditory filterbank of Patterson 97 et al. (1995). The simulated membrane motion is converted 98 into a simulation of the phase-locked, neural activity pattern 99 (NAP) that flows from the cochlea in response to the sound; 100 the simulated NAP represents the probability of neural firing, 101 it is produced by compressing, half-wave rectifying and low-102 pass filtering the membrane motion, separately in each filter 103 channel. The NAPs produced by AIM are very similar to 104 those produced by correlogram and autocorrelogram models 105 of pitch perception (e.g., Slaney and Lyon, 1990; Meddis and 106 Hewitt, 1991; Yost et al., 1996).

The NAPs produced in response to two complex sounds 107 108 composed of harmonics 3-10 of a 300 Hz fundamental and a 109 75 Hz fundamental are shown in Figs. 1(a) and 1(b), respec-110 tively. The dimensions of the NAP are time (the abscissa) 111 and auditory-filter center frequency on a quasilogarithmic 112 axis (the ordinate). Figure 1 covers the frequency range from 113 50 to 12 000 Hz. The time range encompasses three periods 114 of the corresponding fundamental; so for the 300 Hz F0 the 115 range is 10 ms, and for the 75 Hz F0 the range is 40 ms. The 116 vertical and horizontal side panels to the right and below 117 each figure show the average of the activity in the NAP 118 across one of the dimensions. The average over time is 119 shown in the vertical (or spectral) profile; the average over 120 frequency is shown in the horizontal (or temporal) profile. 121 The spectral profiles are often referred to as excitation pat-122 terns (e.g., Glasberg and Moore, 1990), and they show that 123 there are more resolved harmonics in the NAP of the sound 124 with the higher F0 (300 Hz) [Fig. 1(a)] than for the lower F0 125 (75 Hz) [Fig. 1(b)]. This suggests that using the spectral pro-126 files to predict pitch strength would lead to a higher value of 127 pitch strength for the higher F0. The spectral summaries de-128 rived from other time-domain models and the spectral sum-129 maries used in spectral models of auditory processing would 130 all lead to the same, qualitative, prediction. With regard to 131 temporal information, the NAPs reveal faint ridges in the 132 activity, which occur every 3.3 ms for the 300 Hz NAP and 133 every 13.3 ms for the 75 Hz NAP. However, it is difficult to 134 see the strength of the temporal regularity in the NAP be-135 cause the propagation delay in the cochlea means that the 136 temporal pattern in the lower channels is progressively 137 shifted in time. Similarly, the temporal profiles provide only 138 a poor representation of the temporal regularity in these



FIG. 1. Neural activity patterns (NAPs) for harmonic complex sounds composed of the third to tenth harmonics of (a) an F0 of 300 Hz, and (b) an F0 of 75 Hz. Side panels show the spectral profiles (vertical) and temporal profiles (horizontal) of the NAP.

sounds. This is a general limitation of time-frequency representations of the information in the auditory nerve.

The temporal information in the NAP concerning how 141 the sound will be perceived is not coded by time, per se, but 142 rather by the time intervals between the peaks of the mem- 143 brane motion. For this reason, time-domain models include 144 an extra stage, in which autocorrelation (e.g., Slaney and 145 Lyon, 1990) or strobed temporal integration (Patterson et al., 146 1992) is applied to the NAP to extract and stabilize the 147 phase-locked, repeating neural patterns produced by periodic 148 sounds. Broadly speaking, the time intervals between peaks 149 within a channel are calculated and used to construct a form 150 of time-interval histogram for that channel of the filterbank, 151 and the complete array of time-interval histograms is the 152 correlogram (Slaney and Lyon, 1990), or auditory image 153 (Patterson et al., 1992), of the sound. The histogram is dy- 154 namic and events emerge in, and decay from, the histogram 155 with a half life on the order of 30 ms. It is argued that these 156 representations provide a better description of what will be 157 heard than the NAP. They have the stability of auditory per- 158 ception (Patterson et al., 1992) and they do not contain the 159 between-channel phase information associated with the 160 propagation delay which we do not hear (Patterson, 1987). 161 However, all that matters in the current study is that they 162 reveal the precision of the time-interval information in the 163 auditory nerve and make it possible to produce a simple 164 summary of the temporal information in the form of a tem- 165 poral profile. 166

2 J. Acoust. Soc. Am., Vol. 123, No. 5, May 2008



FIG. 2. Stabilized auditory images (SAI) of four harmonic complexes. All stimuli have eight consecutive harmonics; they differ in their fundamentals and lowest components. (a) F0=75 Hz, harmonics 11-18; (b) F0=75 Hz, harmonics 3-10; (c) F0=300 Hz, harmonics 11-18; (d) F0=300 Hz, harmonics 3-10. Side panels show the spectral profiles (vertical) and temporal profiles (horizontal) of the auditory images.

167 The auditory images of four harmonic complexes simu-168 lated by AIM are shown in Fig. 2. The stimuli all have eight 169 consecutive harmonics but they differ in fundamental (F0) 170 and/or lowest component (LC) as follows: (a) F0=75 Hz, 171 LC=11; (b) F0=75 Hz, LC=3; (c) F0=300 Hz, LC=11; (b) 172 F0=300 Hz, LC=3. The auditory images corresponding to 173 the NAPs in Figs. 1(a) and 1(b) are shown in Figs. 2(d) and 174 2(b), respectively. In each channel of all four panels, there is 175 a local maximum at the F0 of the stimulus, and together 176 these peaks produce a vertical ridge in each panel that cor-177 responds to the pitch that the listener hears. In the upper **178** panels [Figs. 2(a) and 2(b)], where the lowest component is 179 the 11th, and the auditory filters are wide relative to compo-180 nent density, the interaction of the components within a filter 181 is clearly manifested by the asymmetric modulation of the 182 pattern at the F0 rate. The corresponding correlograms of 183 Slaney and Lyon (1990) and the autocorrelograms of Meddis 184 and Hewitt (1991) would have a similar form in as much as 185 there would be local peaks at F0 and prominent modulation 186 for the stimuli where the lowest component is the 11th, but 187 the pattern of activity within the period of the sound would 188 be blurred and the envelope of the modulation would be 189 more symmetric.

AQ: 190 The vertical and horizontal side panels to the right and 191 below each sub-figure show the average of the activity in the 192 auditory image across one of the dimensions. The average 193 over time interval is shown in the vertical, or spectral, pro-194 file; the average over frequency (or channels) is shown in the 195 horizontal, or temporal, profile. The unit on the time-interval 196 axis is the frequency equivalent of time interval, that is, time 197 interval⁻¹. It is used to make the spectral and temporal pro-198 files directly comparable. The spectral profile of the auditory 199 image is very similar to that of the corresponding NAP. The

temporal profile of the auditory image shows that the timing ²⁰⁰ information in the neural pattern of these stimuli is very ²⁰¹ regular, and if the auditory system has access to this infor- ²⁰² mation it could be used to explain pitch perception. The ad- ²⁰³ vantage of time-domain models of auditory processing is that ²⁰⁴ the spectral and temporal profiles are derived from a com- ²⁰⁵ mon simulation of the information in the auditory nerve, ²⁰⁶ which facilitates comparison of the spectral and temporal ²⁰⁷ pitch models based on such profiles. Moreover, the param- ²⁰⁸ eters of the filterbank are derived from separate, masking ²⁰⁹ experiments, so the resulting models have the potential to ²¹⁰ explain pitch and masking within a unified framework. ²¹¹

In the spectral profile, when the lowest component is 212 increased from three to eleven, the profile ceases to resolve 213 individual components. This is shown by comparing the 214 peaky spectral profile for the stimulus with a LC of 3 in Fig. 215 2(d), with the smoother profile for the stimulus with a LC of 216 11 in Fig. 2(c). The effect of increasing LC is similar for the 217 lower F0 in the left column, but the harmonic resolution is 218 reduced in both cases. In the temporal profile, when the low- 219 est component is increased from three to eleven, the pronounced peak at 75 Hz in the left-hand column remains; 221 compare Figs. 2(b) and 2(a). The 300 Hz peak in the tempo-222 ral profile in the right-hand column becomes much less pro-223 nounced relative to the surrounding activity, [compare Figs. 224 2(d) and 2(c)] but there is still a small peak in Fig. 2(c). 225

As F0 is increased from 75 to 300 Hz, activity in the 226 spectral profile shifts up along the frequency axis. For the 227 stimuli with higher order components [Figs. 2(a) and 2(c)], 228 there is little change in the resolution of the spectral profile 229 when F0 is changed; the harmonic resolution remains poor. 230 But for the stimuli with lower order components [Figs. 2(b) 231 and 2(d)], the increase from a fundamental of 75 Hz to one 232

²³³ of 300 Hz is accompanied by an increase in harmonic reso-²³⁴ lution, which is due to the increase in the Q of the filter with ²³⁵ center frequency. As a result, a model based on spectral pro-²³⁶ files would predict (a) that performance for stimuli with ²³⁷ higher order components will be poor independent of F0, and ²³⁸ (b) that performance for stimuli with lower order compo-²³⁹ nents will be better for the higher F0 (300 Hz).

As F0 is increased from 75 to 300 Hz, the peak in the 241 temporal profile shifts to the right along the time-interval 242 axis. For stimuli with lower order components [Figs. 2(b) 243 and 2(d)], the ratio of the magnitude of the F0 peak to the 244 magnitude of the neighboring trough is large, and a model 245 based on temporal profiles would predict good performance 246 in both conditions. For stimuli with higher order components 247 [Figs. 2(a) and 2(c)], the peak to trough ratio is still reason-248 ably large for the lower F0, but it is much reduced for the 249 higher F0. So, a model based on temporal profiles would 250 predict reasonable performance for the low F0 and poorer 251 performance for the higher F0. Thus, there is a clear differ-252 ence between the predictions of the two classes of model.

253 II. MAIN EXPERIMENT

The melody task is based on the procedure described the melody task is based on the procedure described the previously by Patterson *et al.* (1983) and revived by Presstable 255 previously by Patterson *et al.* (2001). Listeners were presented with two suctable successive melodies. The second melody was a repetition of the the presented by one diatonic intertable second melody was a repetition of the task for the listener was to identify which note had changed in the second melody. Melodies the consisted of four notes from the diatonic major scale. The task consistent with the musical scale, and that sinusoidal was consistent with the musical scale, and that sinusoidal the pitch could not be used to make judgments. A melody task task as it is a the better measure of pitch strength.

267 A. Stimuli

PROOF COPY 002805JAS

The notes in the melodies were synthesized from a har-268 269 monic series whose lowest components were missing. The 270 pitch of the note corresponded to the F0 of the harmonic 271 series. The harmonics were attenuated by a low-pass filter 272 with a slope of 6 dB/octave relative to the lowest component 273 present in the complex. Performance on a melody task was 274 measured as a function of three parameters: fundamental fre-275 quency (F0); average, lowest component number (ALC); and 276 number of components (NC). There were two nominal F0's 277 75 and 300 Hz; the F0 was subject to a rove of half an 278 octave. The ALC was 3, 7, 11, or 15. The NC was either 4 or 279 8. Stimuli were generated using MATLAB; they had a sam-280 pling rate of 48 kHz and 16 bit amplitude resolution. They 281 were played using an Audigy-2 soundcard. The duration of 282 each note was 500 ms, which included a 100 ms raised co-283 sine onset and a 333 ms raised cosine offset. Stimuli were 284 presented diotically using AKG K240DF Studio-Monitor 285 headphones at a level of approximately 60 dB SPL. Differ-286 ence tones in the region of F0 and its immediate harmonics 287 (Pressnitzer and Patterson, 2001) were masked by bandpass 288 filtered white noise; the frequency range was 20-160 Hz for



FIG. 3. Schematic of the procedure of the melody task, adapted from Patterson *et al.* (1983). One note changes by a single diatonic interval between the first and second presentations of the melody, and the listener has to identify the changed note, marked here by a grey square.

the lower F0 and 50–400 Hz for the higher F0. The level of ²⁸⁹ the noise was 50 dB SPL. Cubic difference tones just below 290 the lowest harmonic were not masked as this would involve 291 inserting a loud noise that would overlap in the spectrum 292 with the stimulus. Cubic difference tones might increase 293 pitch strength slightly in all conditions, but they would not 294 be expected to contribute a distinctive cue to the melody that 295 would affect performance differentially for a particular F0 or 296 lowest harmonic number. The experiment was run in an IAC 297 double-walled, sound-isolated booth. 298

299

317

B. Subjects

Three listeners participated in the first experiment; their 300 ages ranged from 20 to 26 years. All listeners had normal 301 hearing thresholds at 500 Hz, 1, 2, and 4 kHz. Listeners 302 were not chosen on the basis of musical ability, but two of 303 the listeners were trained musicians. All listeners were paid 304 at an hourly rate. Listeners were trained on the melody task 305 over a 2 h period, although they would be allowed to take 306 frequent breaks so the actual training time was somewhat 307 less than 2 h. The training program varied between listeners. 308 Typically it involved starting with an easy condition having 309 eight components, an ALC of three, and no roving of the 310 lowest component. The difficulty of the task was then in- 311 creased by including stimuli with fewer components (i.e., 312 four), adding the rove, and finally presented stimuli with 313 higher values of ALC. Three potential listeners were rejected 314 after the training period because they were unable to learn 315 the task sufficiently well within the allotted time. 316

C. Procedure

Listeners were presented with two consecutive four note **318** melodies. The second melody had one of the notes changed, **319** and listeners had to identify the interval with the changed **320** note. The procedure is illustrated schematically in Fig. **3** as **321** four bars of music: The two melodies are presented in the **322** second and fourth bars; the tonic, which defines the scale for **323** the trial, is presented twice before each of the melodies, as a **324** pick up in the third and fourth beats of the first and third **325** bars. After the presentation of the second melody, there was **326**

³²⁷ an indefinite response interval, which was terminated by the
³²⁸ listener's response. Feedback was then given as to which
³²⁹ note actually changed, then another trial begun. In the ex³³⁰ ample shown in Fig. 3, it is the second note that has changed
³³¹ in the second melody as shown by the gray square.

The notes of the melodies were harmonic complexes 332 333 without their lowest components. The melody was defined as 334 the sequence of fundamentals (that is, the residue pitch) 335 rather than the sequence of intervals associated with any of 336 the component sinusoids. On each trial, the F0 of the tonic 337 was randomly selected from a half-octave range, centered 338 logarithmically on F0. The actual ranges were 63-89 and 339 252-357 Hz. The F0's of the other notes in the scale were 340 calculated relative to the F0 of the tonic using the following **341** frequency ratios: $2^{-1/12}$ (te); 1 (doh); $2^{2/12}$ (ray); $2^{4/12}$ (me); **342** $2^{5/12}$ (fah); $2^{7/12}$ (soh); and $2^{9/12}$ (lah). Note that a ratio of $2^{1/12}$ 343 produces an increase in frequency of one semitone on the 344 equal temperament scale. The intervals are musical but, due 345 to the randomizing of the F0, the notes of the melodies are 346 only rarely the notes found on the A440 keyboard. The pur-347 pose of randomizing the F0 of the tonic was to force the 348 listeners to using musical intervals rather than absolute fre-349 quencies to perform the task. The notes of the first melody of 350 a trial were drawn randomly, with replacement, from the first 351 five notes of the diatonic scale based on the randomly chosen 352 tonic for that trial. The melody was repeated in the same key, 353 and one of the notes was shifted up or down by a single 354 diatonic interval. This shift can result in either a tone or a 355 semitone change, since the size of a diatonic interval de-356 pends on its position in the scale.

The LC of each note in each melody was subjected to a 357 358 restricted rove, the purpose of which was to preclude the use 359 of the sinusoidal pitch of one of the components to perform 360 the task. The degree of rove was one component, and so, the 361 LC in each tone was either LC or LC+1. There were two 362 further restrictions on the value of the LC: First, adjacent **363** notes in a melody were precluded from having the same LC; 364 second, each note had a different LC in the second melody 365 from that which it had in the first melody. With these restric-366 tions, it sufficed to alternate between the LC and the one 367 above it using one of the patterns 1-0-1-0 or 0-1-0-1 for 368 the first melody and the other pattern for the second melody. The note-synthesis parameters were combined to pro-369 **370** duce 16 conditions $(2 \times F0, 2 \times NC, 4 \times ALC)$. The order of 371 these 16 conditions was randomized, and together they con-372 stituted one replication of the experiment. The listeners per-373 formed three or four replications in a 20 min block, with four 374 or five blocks in a 2 h session. All listeners completed 45 or 375 46 replications.

376 D. Results of main experiment

AQ: 377 The average results for the three listeners are shown in 378 Fig. 4; the pattern of results was the same for all three listeners as shown by the analysis of variance (ANOVA) in 380 Table I. The abscissa shows the ALC of the harmonic series; 381 the ordinate shows the probability of the listener correctly 382 identifying which of the notes changed in the second melody. 383 Performance is plotted separately for the two NCs and the



FIG. 4. Performance on the melody task with the 75 and 300 Hz fundamentals. The abscissa shows the average lowest component and the ordinate shows the probability of the listener correctly identifying the note which changed. Performance is plotted for each NC condition as a function of average lowest component. The black and grey lines show the results for the 75 and 300 Hz F0's, respectively. The solid and dashed lines show the results for the four- and eight-harmonic stimuli, respectively.

two F0's. The black and grey lines show the results for the ³⁸⁴ 75 and 300 Hz F0's, respectively. The solid and dashed lines 385 show the results for the four- and eight-harmonic stimuli, 386 respectively. Figure 4 shows that, as ALC is increased, per- 387 formance decreases, i.e., the probability of identifying which 388 note changed in the second melody decreases in all condi- 389 tions. However, the effect is much more marked for the 390 300 Hz F0, where performance decreases abruptly as ALC 391 increases beyond 7. This is the most important result, as it 392 differentiates the spectral and temporal models: Strictly spec- 393 tral models would predict that there should be no reduction 394 in listener performance when F0 is increased; indeed, perfor- 395 mance should improve slightly with increasing F0 because 396 the auditory filter becomes relatively narrower at higher cen- 397 ter frequencies. Temporal models predict that there will be a 398 decrease in performance with increasing F0 because of the 399 progressive reduction in the phase locking of nerve fibers. 400 The effect of increasing NC from four to eight had no con- 401 sistent effect on listener performance. 402

An ANOVA was performed on the data; the results are 403 presented in Table I, which confirms that the above-described 404 effects are statistically significant at the P < 0.01 level (bold 405 type in Table I). There is a main effect of ALC, and one 406 interaction, FO×ALC. The interaction of F0 with ALC 407 shows that ALC has a greater effect on performance for the 408 higher F0.

III. ANCILLARY EXPERIMENTS

Prior to running the main experiment, two similar ancil- 411 lary experiments were performed. They are presented briefly 412 here inasmuch as they provide additional data concerning the 413 effects observed in the main experiment, and they provide 414 data on the effects of a larger component rove. 415

410

416

A. Method

The experimental task and the procedure were the same 417 as those described for the main experiment in Sec. II. The 418 design was slightly different. The F0 was 300 Hz in the first 419 ancillary experiment and 75 Hz in the second. The ALC val- 420

PROOF COPY 002805JAS

TABLE I. Results of an ANOVA of performance data (Dependent variable: SCORE). There is one significant (P < 0.01) main effect and one significant interaction, both of which are shown in bold type; they are ALC, and FO × ALC.

Source	Type III sum of squares	df	Mean square	F	Sig.	Partial eta squared
F0	0.079	1	0.079	4.011	0.183	0.667
ALC	0.412	3	0.137	18.477	0.002	0.902
NC	0.002	1	0.002	3.728	0.193	0.651
SUB	0.012	2	0.006	0.273	0.782	0.186
F0*ALC	0.347	3	0.116	27.632	0.001	0.933
F0*NC	0.003	1	0.003	2.222	0.275	0.526
F0*SUB	0.039	2	0.020	3.834	0.080	0.545
ALC*NC	0.004	3	0.001	5.355	0.039	0.728
ALC*SUB	0.045	6	0.007	1.910	0.245	0.693
NC*SUB	0.001	2	0.001	0.443	0.717	0.421
F0*ALC*NC	0.012	3	0.004	7.181	0.021	0.782
F0*ALC*SUB	0.025	6	0.004	7.440	0.014	0.882
F0*NC*SUB	0.003	2	0.001	2.648	0.150	0.469
ALC*NC*SUB	0.002	6	0.000	0.480	0.803	0.324

ues were the same in the two ancillary experiments and the 422 values were the same as in the main experiment, namely, 3, 423 7, 11, or 15. The number of components was 4 or 8, as in the 424 main experiment; however, the ancillary experiments also 425 included a condition with just two components. In the ancil-426 lary experiments, the lowest-component rove (LCR) was ei-427 ther one component (as in the main experiment) or three 428 components. The LCR was subject to the same restrictions as 429 to those in the main experiment. Specifically, for a rove of 430 three, a random permutation of the four rove values was 431 calculated for the first melody (e.g., 0-2-3-1) and recalcu-432 lated for the second melody such that none of the notes in the 433 second melody had the same lowest component as the corre-434 sponding note in the first melody (e.g., 1–0–2–3). Four lis-435 teners participated in each of the ancillary experiments, and 436 three of the listeners were the same in the two experiments. In the conditions where there were only two components 437 438 in the sound, the pitch is ambiguous and the form of the 439 ambiguity differs between musical and nonmusical listeners 440 (Seither-Preisler et al., 2007). The problem is that the sinu-441 soidal pitches of the individual components are strong rela-442 tive to the residue pitch produced by two components; this, 443 in turn, makes it difficult for nonmusical listeners to focus on 444 the residue pitch and not be distracted by the sinusoidal 445 pitches. These problems reduced performance in the two-446 component conditions; the reduction was larger for the lower 447 F0, and larger for the less musical listeners, but there was not 448 enough data to quantify the interaction of F0 and listener. 449 While it might be interesting to study how the pitch of the 450 residue builds up with number of components, while the 451 sinusoidal pitches of the individual components become less 452 salient, that was not the purpose of these experiments. Con-453 sequently, the two-component condition was dropped from 454 the design of the main experiment, and the two-component 455 results from the ancillary experiments are omitted from fur-456 ther discussion.

B. Results

The remaining results of the two ancillary experiments **458** are plotted together in Fig. **5**; the pattern of results was the **459** same for the four listeners in each of the experiments, so the **460** figure shows performance averaged across listeners. The ab- **461** scissa shows the ALC of the harmonic series; the ordinate **462** shows the probability of the listener correctly identifying **463** which of the notes changed in the second melody, as before. **464** Performance is plotted separately for the two LCRs and the **465** two F0's. Performance was averaged over number of com- **466** ponents (four and eight) because the variable did not affect **467** performance; the same noneffect was later observed in the **468**



FIG. 5. Performance on the melody task in the ancillary experiments with the 75 Hz fundamental (black lines) and the 300 Hz fundamental (grey lines). The abscissa shows the average lowest component and the ordinate shows the probability of the listener correctly identifying the note which changed. Performance is plotted separately for the two rove conditions. The dashed and solid lines show the results for LCR values of one and three, respectively.

PROOF COPY 002805JAS

⁴⁶⁹ main experiment. The black and grey lines show the results
⁴⁷⁰ for the 75 and 300 Hz F0's, respectively. The dashed and
⁴⁷¹ solid lines show the results for LCR values of one and three,
⁴⁷² respectively.

473 Consider first, the effect of roving the lowest compo-474 nent; compare the solid lines for a rove of one component 475 with the dashed lines for the rove of three components. Al-476 though performance is slightly better for the rove of one, the 477 pattern of results is the same, and the effect of rove magni-478 tude is not significant. With this observation in mind, the 479 results in Fig. 5 are seen to support the conclusions of the 480 main experiment. The overall performance in the ancillary 481 experiments is slightly lower overall, perhaps because two of 482 the three listeners in the main experiment were trained mu-483 sicians. However, the pattern of results is the same; whereas, 484 performance decreases only slowly with increasing ALC 485 when the F0 is 75 Hz, it decreases rapidly with ALC in the 486 region above seven for an F0 of 300 Hz.

The comparison of performance for the two F0's must 487 488 be made with some caution in this case, since three of the 489 four listeners were common to the two ancillary experiments, 490 and these three listeners performed the 300 Hz experiment 491 before the 75 Hz experiment. However, there were more than 492 40 replications of all conditions for each listener in each 493 ancillary experiment, after the initial training in the melody 494 task, and an analysis showed that there was essentially no 495 learning over the 40 replications in either experiment. It is 496 also the case that the one listener who only participated in 497 the 75 Hz experiment showed no learning over the course of 498 the experiment, and had the same average level of perfor-499 mance as the other listeners in that experiment, indicating 500 that training on the higher F0 was not required to produce 501 good performance with the lower F0. Thus, it seems likely 502 that the elevation of performance in the 75 Hz experiment 503 for the higher ALC values is not simply due to learning, and 504 probably represents the same effect as observed in the main 505 experiment. Accordingly, in Sec. IV the data from the main 506 and ancillary experiments are combined, so that the perfor-507 mance of the trained musicians is moderated by that of the 508 rest of the listeners to provide the best estimate of what per-509 formance would be in the population.

510 IV. MODELING PITCH STRENGTH WITH DUAL 511 PROFILES

The spectral and temporal profiles of the auditory image 512 513 both describe aspects of the frequency information in a 514 sound. They can be combined into a dual profile that facili-515 tates comparison of the two kinds of frequency information 516 by inverting the time-interval dimension of the temporal pro-517 file (Bleeck and Patterson, 2002). The dual profile for a typi-518 cal stimulus in the current experiment is shown in Fig. 6. It 519 had the following parameters: NC=4; ALC=3; and F0 520 = 300 Hz. The temporal profile is the blue (darker gray) line 521 with its maximum at 300 Hz; and the spectral profile is the 522 red (lighter gray) line with its maximum at 900 Hz. The peak 523 in the temporal profile at 300 Hz is the F0 of the harmonic 524 series; the position of the peak is independent of the experi-525 mental parameters NC and ALC. Should the auditory system 526 have a representation like the temporal profile, it would pro-



FIG. 6. The dual profile for a stimulus with four resolved harmonics: NC =4, ALC=3, and F0=300 Hz. The temporal profile is the blue (dark) line and the spectral profile is the red (light gray) line. The F0 is represented in the temporal profile by the locating of the largest peak. In the spectral profile the F0 is represented by the spacing of the peaks.

vide a consistent cue to the temporal pitch of these sounds. ⁵²⁷ The spectral profile has four peaks at 900, 1200, 1500, and 528 1800 Hz. These peaks are at the four components of the sig- 529 nal, i.e., the third, fourth, fifth, and sixth harmonics of 530 300 Hz. The spectral profile shows that these four compo- 531 nents are resolved, which means that a spectral model would 532 be able to extract the F0 from the component spacing of this 533 stimulus using a more central mechanism that computes sub-534 harmonics from a set of spectral peaks. As ALC increases, 535 component resolution decreases and pitch strength decreases. 536 In the following, we use the dual profile to assess the relative 537 value of these spectral and temporal summaries of the sound 538 as predictors of the data from the current experiment. 539

540

7

A. The gammatone auditory filterbank

The dual profile shown in Fig. 6 was produced using a 541 gammatone auditory filterbank (GT-AFB) (Patterson et al., 542 1995) and the version of AIM described in Bleeck et al. 543 (2004). The GT-AFB provides a linear simulation of the 544 spectral analysis performed in the cochlea by the basilar par- 545 tition. The dual profiles for all of the stimuli with F0's of 75 546 and 300 Hz are shown in Fig. 7. Figures 7(a)-7(h) show the 547 profiles for an F0 of 75 Hz and Figs. 7(i)-7(p) show the 548 profiles for an F0 of 300 Hz. Each row in Fig. 7 shows dual 549 profiles with a constant NC; the value is eight for the top 550 row, four for the middle row, eight for the second from bot- 551 tom row, and four for the bottom row. Each column shows 552 dual profiles for stimuli with a constant ALC, with values of 553 three for the leftmost column, seven and eleven for the 554 middle columns, and fifteen for the rightmost column. Thus, 555 Fig. 7(a) is the dual profile for the stimulus with an F0 of 556 75 Hz, consisting of eight harmonics beginning from the 557 third, and Fig. 7(p) is for an F0 of 300 Hz, consisting of four 558 harmonics beginning from the fifteenth. Figure 7 shows that, 559 generally, the spectral profiles do not contain many resolved 560 harmonics for stimuli with lowest components above seven; 561 this is shown in the three rightmost columns of Fig. 7. 562



FIG. 7. Dual profiles produced with the GT-AFB for stimuli with F0's of 75 and 300 Hz. Panels (a)–(h) Profiles for an F0 of 75 Hz. (i)–(p) Profiles for an F0 of 300 Hz. Each row shows dual profiles with a constant NC; the value is eight for the top row, four for the middle row, eight for the second from bottom row, and four for the bottom row. Each column shows dual profiles for stimuli with a constant ALC, with values of three for the leftmost column, seven and eleven for the middle columns, and fifteen for the rightmost column.

563 The temporal profile always has a peak at the F0 of the 564 harmonic series, 75 or 300 Hz, depending on the stimulus. 565 The peak at F0 is not always the largest peak in the temporal 566 profile; however, any other large peaks are spaced well away 567 from the F0 in frequency. Sometimes the peaks are up to four 568 octaves away, and as such, are far enough away not to inter-569 fere with the F0 peak. The peak used in the modeling was the 570 largest peak within a two octave range centered on the fun-571 damental. Thus, the temporal profile marks the F0 value by 572 the location of a single peak and there is no need for a more 573 central subharmonic generator. The height of the peak rela-574 tive to the adjacent troughs can be used to estimate the 575 strength of the pitch (Patterson et al., 1996; Patterson et al., 576 2000) and to explain the lower limit of pitch for complex 577 harmonic sounds (Pressnitzer et al., 2001). The pitch 578 strength metric is illustrated in Fig. 6 by the faint lines; it is 579 the height of the peak at F0, measured from the abscissa, 580 minus the average of the trough values on either side of the 581 peak, again measured from the abscissa. The effect of the 582 loss of phase locking on this metric can be readily observed 583 in the lower two rows of Fig. 7, where the F0 is 300 Hz and 584 NC is either 8 or 4. As ALC increases from panel to panel 585 across each row, the peak to trough ratio decreases progres-586 sively. The effect is much smaller in the upper rows where 587 the energy of the stimulus is concentrated in the region be-588 low 2000 Hz, where phase locking is more precise.

589 There is a ceiling effect in the perceptual data at the

PROOF COPY 002805JAS

lowest ALC values (3 and 7). Accordingly, the maximum ⁵⁹⁰ value of the peak-to-trough ratio was limited to 7 in the ⁵⁹¹ modeling of pitch strength. This had the effect of limiting the ⁵⁹² model's estimate of pitch strength so that it did not rise fur- ⁵⁹³ ther as ALC decreased from 7 to 3. The solid black and grey ⁵⁹⁴ lines in Fig. 8 show the pitch strength estimates as a function ⁵⁹⁵ of ALC for the 75 and 300-Hz F0's, respectively. The pitch ⁵⁹⁶



FIG. 8. Comparison of the experimental results with pitch-strength estimates from the dual profile model, based on a gammatone auditory filterbank, for an F0 of 75 Hz (black lines) and 300 Hz (grey lines). Dashed lines are the average experimental data plotted using the right ordinate (probability of correct identification as a function of average lowest component). Solid lines are the model values plotted using the left ordinate (pitch strength as a function of the average lowest component).

D. T. Ives and R. D. Patterson: Pitch strength and harmonic number

⁵⁹⁷ strength values were averaged over the two NC conditions ⁵⁹⁸ for each value of ALC. Figure 8 also shows the perceptual ⁵⁹⁹ data for the listeners from both the main and the ancillary ⁶⁰⁰ experiments averaged over NC for each F0. The perceptual ⁶⁰¹ data are presented separately for the two F0's with dashed ⁶⁰² black and grey lines for 75 and 300 Hz, respectively. Figure ⁶⁰³ 8 shows that the model can explain the more rapid fall off in ⁶⁰⁴ pitch strength with increasing ALC at the higher F0.

605 B. The dynamic, compressive gammachirp auditory 606 filterbank

Unoki et al. (2006) have argued that the compressive 607 608 GammaChirp auditory filter (cGC) of Irino and Patterson 609 (2001) provides a better representation of cochlear filtering 610 than the linear GT auditory filter in models of simultaneous 611 masking. The magnitude characteristic of the cGC filter is 612 asymmetric and level dependent with resolution similar to 613 that described by Ruggero and Temchin (2005). At normal 614 listening levels for speech and music, the bandwidth of the 615 auditory filter is greater than the traditional ERB values re-616 ported by Glasberg and Moore (1990) as noted in Unoki 617 et al. (2006). Moreover, Irino and Patterson (2006) have re-618 cently described a *dynamic* version of the cGC filter with 619 fast-acting compression which suggests that AIM can be ex-620 tended to explain two-tone suppression and forward mask-621 ing, as well as simultaneous noise masking. In an effort to 622 increase the generality of the modeling, a version of AIM 623 with the nonlinear dcGC filterbank was used to produce dual 624 profiles for the stimuli in the experiment, to determine the 625 pitch-strength values that would be derived from the tempo-626 ral profiles of this more realistic time-domain model of au-627 ditory processing. The dual profiles produced with the dcGC 628 filterbank were quite similar to those produced with the gam-629 matone filterbank, primarily because the nonlinearities do 630 not distort the time-interval patterns produced in the cochlea 631 simulation as noted in Irino et al. (2007). The temporal pro-632 files exhibited somewhat more pronounced peaks for the 633 higher values of ALC, and the spectral profiles contained 634 even less information, as would be expected with a broader 635 auditory. But the differences were not large, and so the pat-636 tern of performance predicted for the melodic pitch task is 637 quite similar for AIM with the dcGC filterbank. The results 638 indicate that AIM with the dcGC filterbank would have the 639 distinct advantage of being able to explain temporal pitch, 640 masking, and suppression within one time-domain frame-641 work.

642 V. SUMMARY AND CONCLUSIONS

The decrease in pitch strength that occurs as the compo-644 nents of a harmonic complex are increased in frequency was 645 used to demonstrate the importance of temporal fine struc-646 ture in pitch perception. Performance on a melodic pitch task 647 was shown to be better when the fundamental was lower 648 (75 Hz) rather than higher (300 Hz), despite the fact that the 649 internal representation of the harmonic complex has more 650 resolved components when the fundamental is higher. A 651 time-domain model of auditory processing (AIM) (Patterson 652 *et al.*, 1995; Bleeck *et al.*, 2004) was used to simulate the neural activity produced by the stimuli in the auditory nerve ⁶⁵³ and to compare the spectral and temporal information in the ⁶⁵⁴ simulated neural activity in the form of the spectral and tem- ⁶⁵⁵ poral profiles of the auditory image. Peaks in the time- ⁶⁵⁶ interval profile can explain the *decrease* in performance as ⁶⁵⁷ F0 *increases*. The corresponding spectral profiles show that ⁶⁵⁸ spectral resolution increases when F0 increases, which sug- ⁶⁵⁹ gests that spectral models based on excitation patterns would ⁶⁶⁰ predict that performance on the melody task would improve ⁶⁶¹ as F0 increases, which is not the case. ⁶⁶²

The temporal profiles produced by the traditional ver- 663 sion of AIM with the gammatone filterbank are similar to 664 those produced by the most recent version of AIM, with a 665 dynamic, compressive gammachirp filterbank. The latter 666 model offers the prospect of being able to explain pitch, 667 masking, and two-tone suppression within one time-domain 668 framework. 669

ACKNOWLEDGMENTS

Research supported by the U.K. Medical Research 671 Council (G0500221, G9900369). We would like to thank 672 Steven Bailey, a project student, for his assistance in running 673 the experiment, and his participation as a listener. The au- 674 thors would also like to thank Alexis Hervais-Adelman for 675 assistance with the ANOVA calculations. 676

- Bleeck, S., Ives, T., and Patterson, R. D. (2004). "Aim-mat: The auditory 677 image model in MATLAB," Acta Acust. 90, 781–788. 678
- Bleeck, S., and Patterson, R. D. (2002). "A comprehensive model of sinu-soidal and residue pitch," poster presentation at Pitch: Neural Coding andPerception, Delmenhorst, Germany, 14–18 August.681
- Cullen, J. K. Jr., and Long, G. (1986). "Rate discrimination of high-pass 682 filtered pulse trains," J. Acoust. Soc. Am. 79, 114–119. 683
- Fastl, H., and Stoll, G. (1979). "Scaling of pitch strength," Hear. Res. 1, 684 293–301. 685
- Fruhmann, M., and Kluiber, F. (2005). "On the pitch strength of harmonic 686 complex tones," DAGA 05, Munchen, edited by H. Fastl and M. Fruh-687 mann, DEGA, Berlin, Vol II, pp. 467–468.
 688
- Glasberg, B. R., and Moore, B. C. J. (1990). "Derivation of auditory filter 689 shapes from notched-noise data," Hear. Res. 47, 103–138. 690
- Houtsma, A. J. M., and Smurzynski, J. (1990). "The central origin of the 691 pitch of complex tones: Evidence from musical interval recognition," J. 692 Acoust. Soc. Am. 87, 304–310.
- Irino, T., and Patterson, R. D. (2001). "A compressive gammachirp auditory 694 filter for both physiological and psychophysical data," J. Acoust. Soc. Am. 695 109, 2008–2022. 696
- Irino, T., and Patterson, R. D. (2006). "A dynamic, compressive gammachirp 697 auditory filterbank," IEEE Audio, Speech Lang. Proc. 14, 2222–2232. 698
- Irino, T., Walters, T. C., and Patterson, R. D. (2007). "A computational 699 auditory model with a nonlinear cochlea and acoustic scale normalization," Proceedings of the 19th International Congress on Acoustics, 701 Madrid. 702
- Krumbholz, K., Patterson, R. D., and Pressnitzer, D. (2000). "The lower 703 limit of pitch as determined by rate discrimination," J. Acoust. Soc. Am. 704 108, 1170–1180.
 705
- Meddis, R., and Hewitt, M. J. (1991). "Virtual pitch and phase-sensitivity 706 studied using a computer model of the auditory periphery. I. Pitch identi-707 fication," J. Acoust. Soc. Am. 89, 2866–2882.
 708
- Patterson, R. D. (**1987**). "A pulse ribbon model of monaural phase percep-**709** tion," J. Acoust. Soc. Am. **82**, 1560–1586. **710**
- Patterson, R. D., Allerhand, M., and Giguere, C. (1995). "Time-domain 711 modeling of peripheral auditory processing: A modular architecture and a 712 software platform," J. Acoust. Soc. Am. 98, 1890–1894. 713
- Patterson, R. D., Handel, S., Yost, W. A., and Datta, J. A. (**1996**). "The **714** relative strength of the tone and noise components in iterated rippled **715** noise," J. Acoust. Soc. Am. **100**, 3286–3294. **716**
- Patterson, R. D., Peters, R. W., and Milroy, R. (1983). "Threshold duration 717

PROOF COPY 002805JAS

670

AQ

#3

- 718 for melodic pitch," in Hearing-Physiological Bases and Psychophysics,
- edited by R. Klinke and R. Hartmann, Proceedings of the Sixth Interna-719 720 tional Symposium on Hearing (Springer, Berlin), pp. 321-326.
- 721 Patterson, R. D., Robinson, K., Holdsworth, J., McKeown, D., Zhang, C.,
- and Allerhand, M. (1992). "Complex sounds and auditory images," in 722
- 723 Auditory Physiology and Perception, Proceedings of the Ninth Interna-
- 724 tional Symposium on Hearing, edited by Y. Cazals, L. Demany, and K.
- Horner (Pergamon, Oxford), pp. 429-446. 725
- 726 Patterson, R. D., Yost, W. A., Handel, S., and Datta, J. A. (2000). "The
- perceptual tone/noise ratio of merged iterated rippled noises," J. Acoust. 727 728 Soc. Am. 107, 1578-1588.
- 729 Pressnitzer, D., and Patterson, R. D. (2001). "Distortion products and the
- pitch of harmonic complex tones," in Proceedings of the 12th Interna-730
- tional Symposium on Hearing, Physiological and Psychophysical Bases of 731
- 732 Auditory Function, edited by D. Breebaart, A. Houtsma, A. Kohlrausch, V.
- 733 Prijs, and R. Schoonhoven (Shaker BV, Maastrict), pp. 97-104.
- 734 Pressnitzer, D., Patterson, R. D., and Krumbholz, K. (2001). "The lower 735 limit of melodic pitch," J. Acoust. Soc. Am. 109, 2074-2084.
- 736 Ritsma, R. J., and Hoekstra, A. (1974). "Frequency selectivity and the tonal

737 residue," in Facts and Models in Hearing, edited by E. Zwicker and E. Terhardt (Springer, Berlin), pp. 156-163. 738

- Ruggero, M. A., and Temchin, A. N. (2005). "Unexceptional sharpness of 739 frequency tuning in the human cochlea," Proc. Natl. Acad. Sci. U.S.A. 740 102, 18614-18619. 741
- Seither-Preisler, A., Johnson, L., Krumbholz, K., Nobbe, A., Patterson, R. 742 D., Seither, S., and Lütkenhöner, B. (2007). "Observation: Tone sequences 743 with conflicting fundamental pitch and timbre changes are heard differ- 744 ently by musicians and non-musicians," J. Exp. Psychol. Hum. Percept. 745 Perform. 33, 743-751. 746
- Slaney, M., and Lyon, R. F. (1990). "Visual representations of speech-A 747 AQ: computer model based on correlation," J. Acoust. Soc. Am. 88, S23-748 749
- n Houtsm. Jaastriet 1, pp. Jumbholz, K. (20) A). "Frequency selectivity . Unoki, M., Irino, T., Glasberg, B. R., Moore, B. C. J., and Patterson, R. D. 750 (2006). "Comparison of the roex and gammachirp filters as representations 751 of the auditory filter," J. Acoust. Soc. Am. 120, 1474-1492. 752
 - Yost, W. A., Patterson, R. D., and Sheft, S. (1996). "A time domain descrip- 753 tion for the pitch strength of iterated rippled noise," J. Acoust. Soc. Am. 754 755

10 J. Acoust. Soc. Am., Vol. 123, No. 5, May 2008

PROOF COPY 002805JAS NOT FOR PRINT!

FOR REVIEW BY AUTHOR

AUTHOR QUERIES — 002805JAS

#1 AQ: Check word

#2 AQ: Check edit

- #3 AQ: Provide full journal title, CODEN and/or ISSN number for Ref. c9
- #4 AQ: Supply page range

PROOF CORL ORSOLUTE